

BHS - TRANS TYPE CODES - 1

CODE	DESCRIPTION
AAF	Asset/Account fee
AAS	Advisor advantage
ACF	Account fee collected
ADF	Advisor fee deducted
ADJ	Adjustment
ADV	Advised when rights expire
AFR	Advisor fee received
APP	Annuity premium
ASF	Asset fee
ASN	Options assigned
ATM	Automated teller fee
BBL	Card beginning balance
BCF	Bounced check fee
BLF	Billing fee collected
BOT	Buy of money market or cancelled buy
BUY	Purchase of securities
CAD	Charge card cash advance
CAF	Charge card cash advance fee
CBF	Checkbook Fee
CCC	Charge card credit
CCF	Annual charge card fee
CCP	Check paid
CCR	Check received
CHK	Check paid
CIN	Charge card interest
CKF	Checking fee
CKP	Check paid – branch
CKR	Check received – branch
CMR	Commission rebate
CNV	Conversion
COM	Commission adjustment
CPM	Early distribution/exceptions apply
CPN	Municipal bond coupon interest
CPP	Early distribution/exceptions apply
CPS	Return check payment stopped
CRV	Cash advance reversal
CVC	Roth conversion
CVS	Roth conversion
CXA	Partial return of excess contribution/early years
CXP	Partial return of excess contribution/early years
CYC	Current year contribution

CODE	DESCRIPTION
CYP	Current year contribution - SIMPLE Participant
CYS	Current year contribution - SIMPLE Company
DCP	Charge card purchase
DCR	Charge card return
DDH	Distribution – total death
DDP	Direct deposit
DDS	Distribution – total disability
DDV	Directed dividend
DEL	Securities delivered to/from account
DET	Not on statement
DIV	Cash dividend paid or received
DLS	Seven day revocation
DOT	Rollover from Keogh
DPM	Premature distribution (total)
DPP	Normal distribution (total)
DPY	Direct payment
DRC	Direct rollover (cash)
DRS	Direct rollover (stock)
DST	Distribution
DVA	Not on statement
DVP	Delivered vs. Payment
EPM	Early distribution/exception applies (total)
EPP	Early distribution/exception applies (contribution)
ETF	EFT funds received from bank account
ETT	EFT funds sent to bank account
EXP	Expired
EXR	Exercised or exercise adjustment
F/D	Stock delivery returned
F/R	Delivery vs. payment stock
FAA	Federal tax withheld
FAD	Portfolio Service Fee
FBA	Federal tax withheld
FCH	IRA maintenance fee
FCO	IRA close out fee
FDA	Federal tax withheld
FEE	IRA fee paid
FET	Federal tax withheld
FPC	Federal tax withheld
FPD	Federal tax withheld

BHS - TRANS TYPE CODES - 2

CODE	DESCRIPTION
FPH	10% Fed tax withheld/adjust partial death distribution
FPM	10% Fed tax withheld/adj partial premature distribution
FPN	10% Fed tax withheld/adjust partial normal distribution
FPO	10% Fed tax withheld/adjust partial special distribution
FPR	10% Fed tax withheld/adjusted
FPS	10% Fed tax withheld/adj partial disability distribution
FPT	Federal tax withheld
FRN	Foreign dividend
FRP	10% Fed tax withheld/adjust
FRT	10% Fed tax withheld/adjust
FTC	Federal tax withheld
FTD	Federal tax withheld
FTF	Transfer from fund
FTH	10% tax withheld/adjusted death distribution
FTM	10% tax withheld/adjusted premature distribution
FTN	10% tax withheld/adjusted normal distribution
FTO	10% tax withheld/adjusted Keogh rollover
FTR	10% tax withheld/adjusted
FTS	10% tax withheld/adjusted death disability
FTT	Transfer to fund
FXA	Federal tax withheld
FXP	Federal tax withheld
FXS	Federal tax withheld
GAP	Gap fee collected
IBC	Intra-bank credit
IBD	Intra-bank debit
ICP	Check paid
ICR	Deposit received
INT	Corporate/government bond interest
IPO	Initial public offering (cash)
IPS	Initial public offering (stock)
ITT	Transfer into street name
JNL	Journalized
KAA	Distribution 5/10 year averaging (cash)
KAS	Distribution 5/10 year averaging (stock)

CODE	DESCRIPTION
KBA	Distribution 5/10 year avg/death benefit exclusion cash
KBN	Transfer to/from beneficiary (Keogh)
KBS	Distribution 5/10 year avg/death benefit exclusion stock
KCT	Contribution (Keogh)
KDA	Distribution death benefit exclusion (cash)
KDS	Distribution on death benefit exclusion stock
KEP	Return excess contribution - prior (cash)
KET	Return excess contribution - prior (securities)
KPH	Distribution death in-kind (partial)
KPI	Direct rollover to an IRA
KPM	Premature distribution in-kind (partial)
KPN	Normal distribution in-kind (partial)
KPO	Special circumstances distribution in-kind (partial)
KPQ	Direct rollover from a qualified plan
KPS	Distribution due to disability in-kind (partial)
KPS	ROTH conversion
KPX	Return excess in-kind contribution (partial)
KSP	Transfer to/from spouse IRA (securities)
KSP	IRA transfer to/from spouse
KTC	Keogh contribution
KTH	Distribution due to death in-kind (total)
KTI	Direct rollover to an IRA
KTM	Premature distribution in-kind (total)
KTN	Normal distribution in-kind (total)
KTO	Special circumstances distribution in-kind (total)
KTQ	Direct Rollover to a Qualified plan
KTS	Distribution due to disability in-kind (total)
KTX	Return excess in-kind contribution (total)
LEU	Cash in lieu of fractional shares
LIQ	Liquidation
LTG	Long term capital gain
MER	Merger

BHS - TRANS TYPE CODES - 3

CODE	DESCRIPTION
MRV	Merchant reversal
MSC	Miscellaneous fee
MTM	Mark to market – short vs. margin
MXN	Maxxnet fee
N/C	Name change
NOF	Check returned – insufficient funds
NQU	Non qualified tax earnings
NRA	Non-resident alien
NSF	Check returned - insufficient funds
NTD	Non-taxable closed end fund dividend
NTM	Tax-exempt municipal bond interest
OBC	Other credit
OBD	Other debit
OMF	Overnight mail
OOT	Transfer out of street name
OTH	Return check – other
OVP	Overdraft loan payment – charge card
OVR	Overdraft load credit extended
P/L	Profit or loss
PAF	Payment amount fee
PAU	Outstanding authorization
PBP	Bill payment
PCA	Cash advance
PCC	Check copy fee
PCF	Cash advance fee
PCP	Debit card purchase
PCR	Debit card return
PDD	Disability distribution (partial)
PDH	Death distribution (partial)
PDI	Direct rollover to an IRA
PDO	Special circumstances distribution (partial)
PDP	Normal distribution (partial)
PEX	Return of excess contribution
PMC	Debit card – miscellaneous credit
PMD	Debit card – miscellaneous debit
PPC	Simple early distribution (partial) 25% no exceptions
PPD	Prohibited transaction (partial)
PPK	Prohibited transaction (partial)
PPM	Premature distribution (partial)
PPS	Simple early distribution (partial) 25% no exceptions
PRD	Rollover distribution (partial)

CODE	DESCRIPTION
PRN	Principal payment
PST	Check returned – payment stopped by customer
PTC	Transfer cash to custodian (or Fidelity MF IRA)
PTD	Prohibited transaction (total)
PTF	Payment taxation fee
PTK	Prohibited transaction (total)
PTS	Adjustment
PTT	10% tax withheld/adjusted
PTW	State tax withheld
PTY	Bill payment
PXA	Return of excess contribution (partial) early years
PXP	Return of excess contribution (partial) prior years
PXS	Return of excess contribution (total) early years
PXT	Return of excess contribution (total) early years
PYC	Prior year cash contribution
PYP	Prior year SIMPLE contribution (participant)
PYS	Prior year SIMPLE contribution (company)
QUA	Qualified tax earnings
R83	Margin loan debit
RCS	60 day rollover contribution (securities)
RDM	Redeemed
RDS	Rollover distribution
REB	Discount coupon
REC	Received security
REJ	Reject
RES	Research fee
RET	Delivery returned
REV	Reverse split
REX	Return of excess contribution (total)
RFC	Conversion to ROTH
RFS	ROTH conversion
RIN	Reinvestment
ROC	Rollover contribution
ROY	Royalty trust payment
RPC	Conversion to ROTH
RPR	Return on principal

BHS - TRANS TYPE CODES - 4

CODE	DESCRIPTION
RTC	Return of capital
RVP	Received vs. payment
S/B	Stock borrowed
S/L	Stock loan
SAA	State tax withheld
SBA	State tax withheld
SCG	Monthly account fee
SCS	State tax withheld
SDA	State tax withheld
SDB	You bought
SDS	You sold
SEA	Special equity excess
SELL	Sell of securities
SEP	SEP-IRA current year contribution
SET	State tax withheld
SFK	Delivery into safekeeping
SFR	Set up fee
SLD	You sold
SMA	Special memorandum account
SOG	Service charge
SPC	Sales proceeds adjustment
SPD	State tax withheld
SPH	State tax withheld
SPM	State tax withheld
SPN	State tax withheld
SPO	State tax withheld
SPP	State tax withheld
SPS	State tax withheld
SPT	State tax withheld
SPY	SEP-IRA prior year contribution
SRP	State tax withheld
SRT	State Tax withholding
STC	State tax withheld
STD	State tax withheld
STG	Short term capital gain
STH	State tax withheld
STK	Stock
STM	State tax withheld
STN	State tax withheld
STO	State tax withheld
STP	Stop payment charge
STR	State tax withheld
STS	State tax withheld
SUB	Subscribe

CODE	DESCRIPTION
SXA	State tax withheld
SXP	State tax withheld
SXS	State tax withheld
TBN	Transfer to/from beneficiary (IRA)
TCS	IRA transfer – stock received
TCU	IRA transfer – cash received
TDI	Direct rollover to an IRA
TDQ	Direct rollover to an IRA
TEQ	Certificate out of transfer
TF0	Stock out of transfer
TF3	Security in transfer at agent
TF7	Security transfer at local agent
TF8	Security in legal transfer
TFR	Transfer of stock
TND	Tender
TNL	Transfer not long
TOA	Interbroker transfer of assets (receive or deliver)
TPC	SIMPLE early distribution (total) – 25%
TPS	SIMPLE early distribution (total) – 25%
TSP	Transfer to or from spouse (IRA cash)
TTC	Transfer of assets total
TTS	Transfer of assets
TTW	10% tax withheld or adjusted
TVC	Travelers checks
TWH	State tax withheld
TXM	Municipal tax interest
UCF	Uncollected funds
UNC	Check returned uncollected
WHD	Withholding or adjusted withholding
WHI	Withholding or adjusted withholding
WHR	Withholding or adjusted withholding
WHS	Withholding or adjusted withholding
WIT	Wire transfer to bank
WTF	Wire transfer from bank
WTT	Wire transfer to bank
X/C	Transferred to or from
XCH	Exchange of securities
FRS	Payout
SSC	State Tax withheld (adj)